



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON DC 20231

www.uspto.gov

APPLICATION NUMBER

FILING RECEIPTION IS

FIRST NAMED APPLICANT

ATTORNEY DIX RET NUMBER

09/695,059

Christopher K. Thomas

12026-2

Bereskin & Parr Box 401 40 King Street West Toronto, ON M5H 3Y2 CANADA



FORMALITIES LETTER

Date Mailed 12/18/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. nowever, are missing Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filling fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter
- The balance due by applicant is \$ 840.

A copy of this notice MUST be returned with the reply.

Customel Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/21/2001 TGEDAMU1 00000079 022095 09695059

01 FC:201 02 FC:205 355.00 CH 65.00 CH

file PC \APP\$\nreeson\correscondones\2 R and

13/11/11/11

Barristers and Solicitors/Patent and Trage Mark Agents Practice Restricted to Intellectual Property Law

Bhupinder S. Randhawa B.A.Sc. (Comp. Eng.), LL.B 416 957 1624 brandhawa@bereskinparr.com

Your Reference. 09/695,059 12026-2 Our Reference

Response to Notice to File Missing Parts of Application

Commissioner of Patents and Trademarks Washington, D.C. United States 20231

Dear Sir:

February

Re:

U.S. Patent Application No. 09/695,059

For: METHOD FOR DETECTING ABERRANT BEHAVIOR

OF A FINANCIAL INSTRUMENT Applicant: Measuredmarkets Inc.

In response to the Notice to File Missing Parts dated December 18, 2000, we enclose the Small Entity Statement, a signed Declaration, an Assignment and \$460.00 owed by applicant. Also enclosed is an Application Data Sheet to be filed with the abovementioned application. Please charge the fees owed against our Deposit Account No. 02-2095. The fees are itemized below: 04/23/2003 LWALDEN 00000002 022095 09695059

01 FC:2202

9.00 CH

Completion of Basic Filing Fee \$355 00 \$ 65 00 Declaration Surcharge \$ 40.00 Assignment Fee

TOTAL

\$ 460.00

Please let us know if you require anything further.

Respectfully submitted,

Bhupinder S. Randhawa

Reg. No. 47,276